

be realized in collinear magnetic systems when SOC is included [12, 13]. On the other hand, strong SOC may open up a large nontrivial bandgap, which is very important to realize QAH effect at high temperatures. In general, strong SOC exists in heavy-element compounds. Unfortunately, the chemical bonds of heavy element compounds are weaker than those of light-element compounds, which leads to more defects in heavy-element compounds [14, 15]. Thus, the stability to realize exotic functionalities in heavy-element compounds is relatively weak.

An interesting question is whether the strong SOC effect can be achieved in light-element compounds. In 2008, Liu *et al.* [16] proposed the correlation-enhanced spin-orbit effect in paramagnetic Sr_2RhO_4 . In 2022, Li *et al.* [17] demonstrated that the SOC can be enhanced in light-element ferromagnetic materials, which derives from the cooperative effect of crystal symmetry, electron occupancy, electron correlation, and intrinsic SOC. This provides a new direction for the design of light-element materials with strong effective SOC.

Altermagnetism is proposed as a new magnetic phase possessing the duality of real-space antiferromagnetism and reciprocal-space ferromagnetism, distinct from ferromagnetism and conventional collinear antiferromagnetism [18, 19]. Moreover, altermagnetic materials have a wide range of electronic properties, which cover metals, semimetals, semiconductors, and insulators [19, 20]. Different from ferromagnetic materials with s -wave spin polarization, altermagnetic materials have k -dependent spin polarization, which results in many exotic physical effects [18, 19, 21–28]. With SOC, similar to the case of ferromagnetic materials, the time-reversal symmetry-breaking macroscopic phenomena can be also realized in altermagnetic materials [13, 29–31]. Nevertheless, altermagnetism is proposed based on spin group theory [32–35] and the predicted altermagnetic materials have many light-element compounds [19, 20, 33]. Therefore, it is very important to propose a mechanism to enhance SOC in light-element compounds with altermagnetism and predict the corresponding compounds with strong SOC effect.

In this work, based on symmetry analysis, the first-principles electronic structure calculations based on density functional theory (DFT) plus dynamical mean-field theory (DMFT), we predict that the light-element compounds NiF_3 and FeCO_3 are i -wave altermagnetic materials with extremely strong SOC effect. Then, we further propose a mechanism to enhance SOC effect in light-element compounds with altermagnetism, which reveals the cooperative effect of crystal symmetry, electron occupation, electronegativity, electron correlation, and intrinsic SOC. We also explain the weak SOC effect in altermagnetic materials VF_3 , CrF_3 , FeF_3 and CoF_3 .

2 Methods

The first-principles electronic structure calculations were performed within the framework of DFT [36, 37] using the Vienna Ab initio Simulation Package (VASP) [38–40] and Quantum ESPRESSO [41]. The generalized gradient approximation (GGA) of the Perdew–Burke–Ernzerhof (PBE) type [42] and the meta-GGA of the Strongly Constrained and Appropriately Normed (SCAN) type [43] were employed for the exchange–correlation functionals. The projector augmented wave (PAW) method [44, 45] was adopted to describe the interactions between valence electrons and nuclei. The kinetic-energy cutoff for the plane-wave basis was set to be 600 eV. Total energy convergence and atomic force tolerance were established at 10^{-6} eV and 10^{-4} eV/Å, respectively. To describe the Fermi–Dirac distribution function, a Gaussian smearing of 0.05 eV was used. The $16 \times 16 \times 16$ and $8 \times 8 \times 4$ Monkhorst–Pack k meshes were employed for BZ sampling of the unit cell and supercell, respectively. To account for the correlation effects of Ni $3d$ orbitals, we performed GGA+ U [46] calculations by using the rotationally invariant approach introduced by Liechtenstein *et al.* [47] and the simplified rotationally invariant version of Dudarev *et al.* [48]. The empirical Hubbard U parameter for Ni $3d$ orbitals was determined to be 6.7 eV according to previous studies [49, 54, 55].

The Heyd–Scuseria–Ernzerhof (HSE) functional [50] implemented in Quantum ESPRESSO significantly enhanced the accuracy of electronic band structure calculations of altermagnetic NiF_3 without SOC by integrating both local and non-local exchange–correlation contributions. The Gygi–Baldereschi approach was used for treating the Coulomb potential divergencies at small sampling vectors of the Fock operator.

Momentum-resolved spectral function obtained by the DFT+DMFT calculations without SOC for altermagnetic NiF_3 at temperature $T = 200$ K by hybridization expansion continuous-time quantum impurity solver [51] based on the EDMFTF package [52]. And an “exact” double-counting scheme developed by Haule [53] was used. The real-frequency self-energy function was obtained by analytical continuation with the maximum entropy. Then it was used to calculate the momentum-resolved spectral function. And density-density form of the Coulomb repulsion was used. The $3d$ orbitals of Ni were considered to be correlated.

3 Results

The NiF_3 takes rhombohedral structure with nonsymmorphic $R\bar{3}c$ (167) space group symmetry [Figs. 1(a) and (b)]. The corresponding elementary symmetry operations are C_{3z} , C_2^t and I , which yield the point group D_{3d} . The

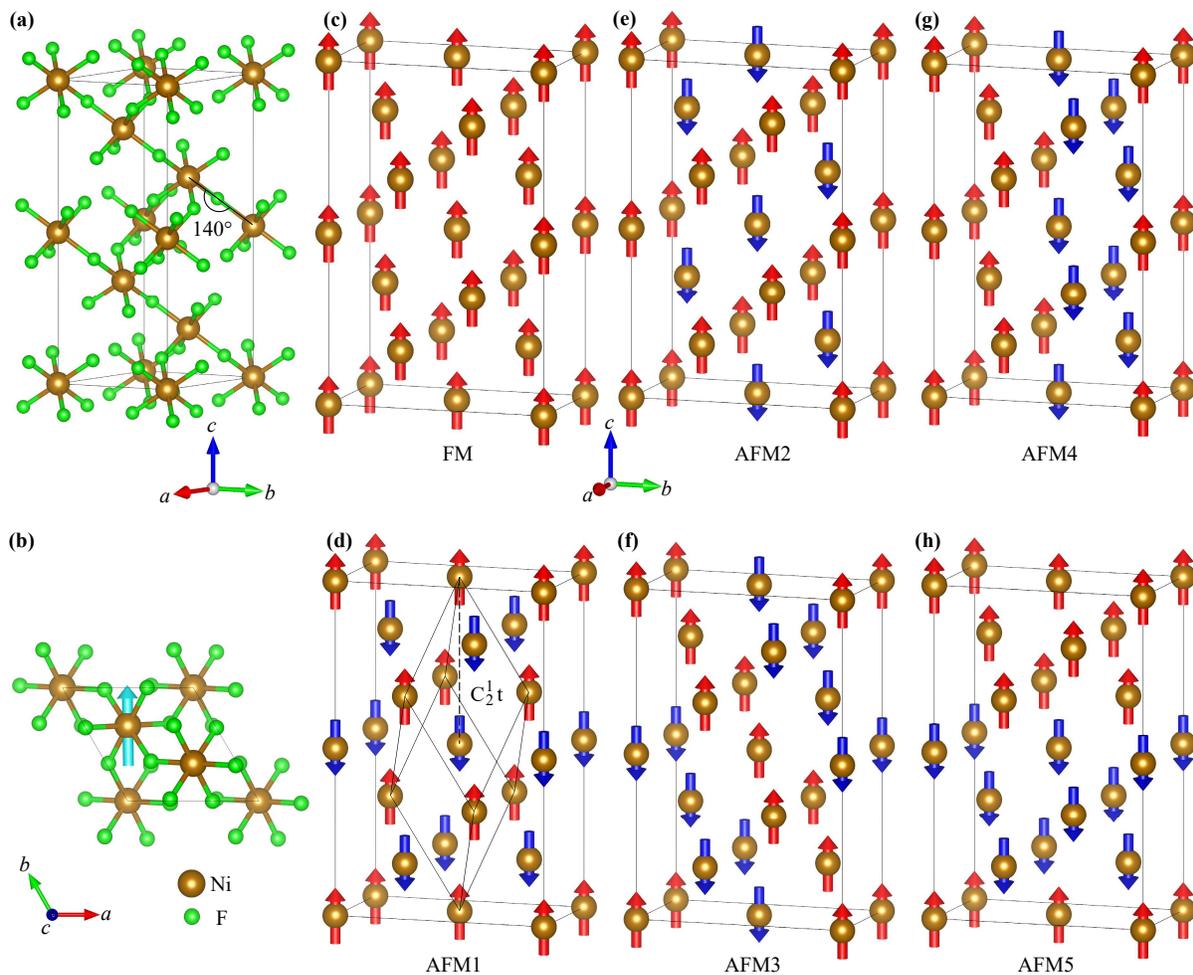


Fig. 1 The crystal structure and six collinear magnetic structures of NiF_3 . (a) and (b) are side and top views of the crystal structure, respectively. The cyan arrow represents the direction of easy magnetization axis. (c–h) are six different collinear magnetic structures including one ferromagnetic and five different collinear antiferromagnetic structures. The bond angle of Ni–F–Ni for the nearest neighbor Ni ions is 140 degrees. The primitive cell of NiF_3 is illustrated in (d). The red and blue arrows represent spin-up and spin-down magnetic moments, respectively.

12 symmetry elements of point group D_{3d} are E , C_{3z} , C_{3z}^2 , C_2^1 , C_2^2 , C_2^3 , I , IC_{3z} , IC_{3z}^2 , M_1 , M_2 , and M_3 . The C_2^1 and t represent the 180 degrees rotation perpendicular to z -axis in the lattice space and $(1/2, 1/2, 1/2)$ fractional translation, respectively. To confirm the magnetic ground state of NiF_3 , we consider six different magnetic structures, including one ferromagnetic and five collinear antiferromagnetic structures which are shown in Figs. 1(c)–(h). Then we calculate relative energies of interaction of six magnetic states with the variation of correlation U . With the increase of correlation interaction U , the NiF_3 changes from the ferromagnetic state to AFM1 state [Fig. 2(a)]. The AFM1 is of intralayer ferromagnetism and interlayer antiferromagnetism [Fig. 1(d)], namely so-called G-type antiferromagnetic order in literature. In previous works, the correlation interaction U was selected as 6.7 eV for Ni 3d orbitals [54, 55]. Thus, the magnetic ground state of NiF_3 is the AFM1 state, which is consistent with previous works [20]. On the other

hand, since the bond angle of Ni–F–Ni for the nearest neighbor Ni ions is 140 degrees, the spins of the nearest neighbor and next nearest neighbor Ni ions are in antiparallel and parallel arrangement according to Goodenough–Kanamori rules [56], respectively. This will result in NiF_3 being the collinear antiferromagnetic state AFM1. Thus, the results of theoretical analysis are in agreement with those of theoretical calculation.

Indeed, the AFM1 state is very simple and the corresponding magnetic primitive cell only contains two magnetic atoms. From Fig. 2(c), the two Ni atoms with opposite spin arrangement are surrounded by F-atom octahedrons with different orientations, respectively. Thus, the two opposite spin Ni sublattices cannot be connected by a fractional translation. Due to two Ni ions located at space-inversion invariant points, the two opposite spin Ni sublattices cannot be either connected by space-inversion symmetry. However, the two opposite spin Ni sublattices can be connected by $C_2^1 t$ symmetry.

Thus, the NiF₃ is an altermagnetic material. The BZ of altermagnetic NiF₃ is shown in Fig. 2(b) and both the high-symmetry lines and points are marked. In order to display the altermagnetic properties more intuitively, we calculate polarization charge density of altermagnetic NiF₃. From Fig. 2(d), the polarization charge densities of two Ni ions with opposite spin arrangement are anisotropic and their orientations are different, deriving from F-atom octahedrons with different orientations. The anisotropic polarization charge densities can result in *k*-dependent spin polarization in reciprocal space. Moreover, according to different spin group symmetries, the *k*-dependent spin polarization can form *d*-wave, *g*-wave, or *i*-wave magnetism [18].

Without SOC, the nontrivial elementary spin symmetry operations in altermagnetic NiF₃ have {E||C_{3z}}, {C₂[⊥]||M_{1t}}, and {E||I}. The spin symmetries {C₂[⊥]||M_{1t}}, {T||TM_{1t}}, and {E||C_{3z}} make altermagnetic NiF₃ being an *i*-wave magnetic material, as shown in Fig. 3(a). Meanwhile, the spins of bands are opposite along the H₂–D₁ (H₂–D₂) and D₁–H₁ (D₂–H₁) directions, reflecting features of *i*-wave magnetism [Fig. 3(b)].

In order to well understand the electronic properties, we also calculate the electronic band structures of altermagnetic NiF₃. Without SOC, the NiF₃ is an altermagnetic metal. There are four bands crossing the Fermi level due to spin degeneracy on the high-symmetry directions [Fig. 3(c)]. Especially, these four bands are degenerate on the Γ–T axis. In fact, any *k* point on the Γ–T axis has nontrivial elementary spin symmetry operations {E||C_{3z}} and {C₂[⊥]||M_{1t}}. And the spin symmetry {E||C_{3z}} has one one-dimensional irreducible real representation and two one-dimensional irreducible complex representations. Although the time-reversal symmetry is broken, altermagnetic materials can have equivalent time-reversal spin symmetry {C₂[⊥]T||IT}. The spin symmetry {C₂[⊥]T||IT} will result in two one-dimensional irreducible complex representations to form a Kramers degeneracy. Meanwhile, the spin symmetry {C₂[⊥]||M_{1t}} protects the spin degeneracy. Therefore, there is one four-dimensional and one two-dimensional irreducible representations on the Γ–T axis. The quadruple degenerate band crossing the Fermi level is thus protected by the spin group symmetry. Furthermore, the orbital weight analysis shows that these four bands are contributed by both the 3*d* orbitals of Ni and the *p* orbitals of F [Fig. 3(c)]. As is known to all, the F atom has the strongest electronegativity among all chemical elements, but the 2*p* orbitals of F do not fully acquire the 3*d*-orbital electrons of Ni, which is very interesting.

In our calculations, the number of valence electrons of NiF₃ is 74, which makes the quadruple band only half-filled. This is the reason why the *p* orbitals of F do not fully acquire the *d*-orbital electrons of Ni. When SOC is included, the spin group symmetry breaks down to magnetic group symmetry. The reduction of symmetry

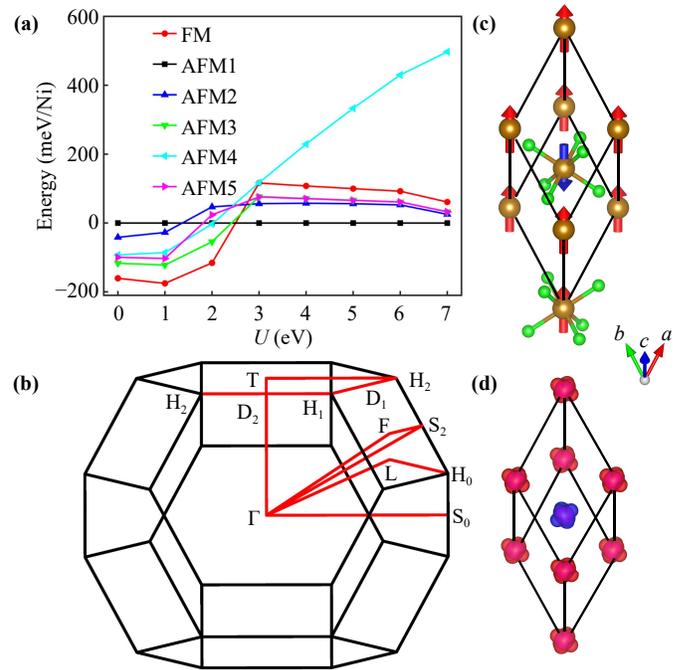


Fig. 2 The magnetic ground state of NiF₃ and the corresponding properties. (a) Relative energies of six different magnetic states with the variation of correlation interaction *U*. (b) and (c) are the corresponding Brillouin zone and the magnetic primitive cell of NiF₃, respectively. The high-symmetry lines and points are marked in the BZ. The red and blue arrows represent spin-up and spin-down magnetic moments, respectively. (d) The anisotropic polarization charge densities. The red and blue represent spin-up and spin-down polarization charge density, respectively.

will result in the quadruple band to split into four non-degenerate bands. Since the F atom has the strongest electronegativity, the 2*p* orbitals of F will completely acquire the 3*d*-orbital electrons of Ni. This will result in altermagnetic NiF₃ to transform from metal phase to insulator phase. In order to prove our theoretical analysis, we calculate the electronic band structure of altermagnetic NiF₃ with SOC. Just like our theoretical analysis, the 2*p* orbitals of F indeed fully acquire the 3*d*-orbital electrons of Ni and altermagnetic NiF₃ transforms into an insulator with a bandgap of 2.31 eV [Fig. 3(d)]. In general, the SOC strength of Ni is in the order of 10 meV, so the effective SOC strength of altermagnetic NiF₃ is two orders of magnitude higher than that of Ni. Thus, the SOC effect of altermagnetic NiF₃ is extremely strong.

In order to examine the effect of correlation interaction, we also calculate the electronic band structures of altermagnetic NiF₃ under correlation interaction *U* = 3, 5, 7 eV, which are shown in Figs. 4(a), (b), and (c), respectively. From Figs. 4(a), (b) and (c), the correlation interaction has a slight effect on the band structure around the Fermi level without SOC, due to the

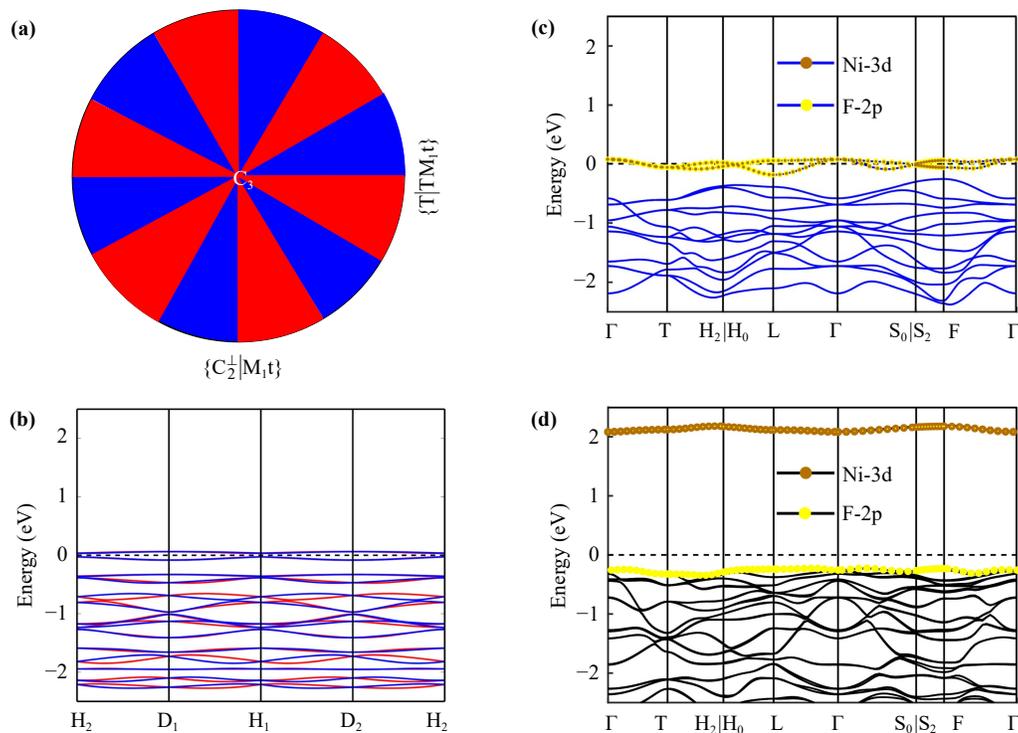


Fig. 3 Schematic diagram of the *i*-wave magnetism and electronic band structures of altermagnetic NiF_3 . (a) Schematic diagram of the *i*-wave magnetism. The red and blue parts represent spin up and down, respectively. (b) The electronic band structure without SOC along the high-symmetry directions. The red and blue lines represent spin-up and spin-down bands, respectively. (c) and (d) are the electronic band structures without and with SOC along the high-symmetry directions.

constraints of spin symmetry and electron occupancy being 74. When including SOC, altermagnetic NiF_3 transforms from a metal phase to an insulator phase under different correlation interaction U . Moreover, the bandgap of altermagnetic NiF_3 increases linearly with the correlation interaction U [Fig. 4(d)]. Thus, the correlation interaction can substantially enhance the bandgap opened by the SOC of altermagnetic materials. In order to make our results more reliable, we have also further completed the calculations respectively with hybrid functional and DFT+DMFT but without SOC. All these calculations are consistent with the calculated results of GGA+ U , which are shown in the Electronic Supplementary Material (ESM).

4 Discussion

Now we well understand the reason for the extremely strong SOC effect in altermagnetic NiF_3 . A natural question is whether such a strong SOC effect can be realized in other altermagnetic materials. According to the above analysis, we propose four conditions for realizing such an effective strong SOC in light-element altermagnetic materials: first, the spin group of altermagnetic material has high-dimensional (greater than four dimensions) irreducible representation (crystal symmetry groups are presented in the ESM); second, the band with high-

dimensional representation crossing the Fermi level is half-filled by valence electrons; third, non-metallic elements have strong electronegativity; fourth, the altermagnetic material has strong electron correlation. To verify these four conditions, we further calculate the electronic band structures of five *i*-wave altermagnetic materials (VF_3 , CrF_3 , FeF_3 , CoF_3 , and FeCO_3), which have the same crystal structure and magnetic structure as NiF_3 [20]. Our calculations show that these four materials (VF_3 , CrF_3 , FeF_3 , and CoF_3) do not satisfy the second condition, while FeCO_3 satisfies all four conditions. Therefore, the SOC effect of these four materials is weak (Fig. S2), but the SOC effect of FeCO_3 is extremely strong (Fig. S4). On the other hand, since high-dimensional irreducible representations can be protected by spin space group in two-dimensional altermagnetic systems, the proposed mechanism is also applicable to two-dimensional light-element altermagnetic materials, which may be advantage for realizing quantum anomalous Hall effect at high temperatures [13].

The mechanism for enhancing the SOC effect that we propose in altermagnetic materials is different from that in ferromagnetic materials [17]. First, the high-dimensional representation of the symmetry group is 2 or 3 dimensions in ferromagnetism, whereas in altermagnetism the high-dimensional representation is 4 or 6 dimensions, so their symmetry requirements are entirely different. Second, the band with high-dimensional representation in ferro-

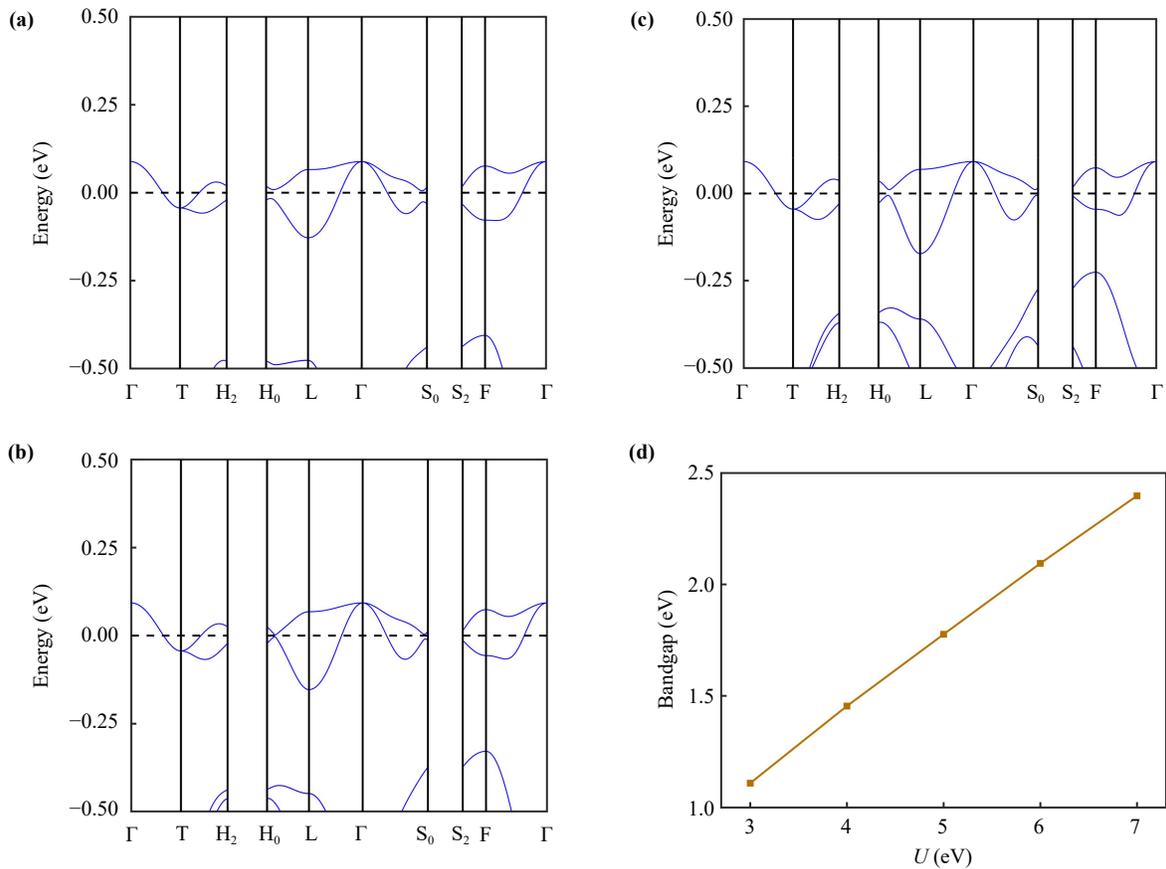


Fig. 4 The electronic properties of altermagnetic NiF_3 under different correlation interaction U . (a), (b) and (c) are the electronic band structures along the high-symmetry directions without SOC under correlation interaction $U = 3, 5, 7$ eV, respectively. (d) The bandgap as a function of correlation interaction U under SOC.

magnetism comes from d orbitals, while the band with high-dimensional representation in altermagnetism can come from the combination of p orbitals and d orbitals. Third, the enhancement of SOC effect derives from correlation interaction for ferromagnetic materials, but from both correlation interaction and the electronegativity of nonmetallic element for altermagnetic materials. Due to one more degree of freedom to enhance the SOC effect, a stronger SOC effect can be achieved in the altermagnetic materials. Moreover, if the electronegativity of nonmetallic element is weak, different topological phases may be realized in altermagnetic materials when including SOC. On the other hand, the mechanism for enhancing SOC effect in altermagnetic materials can be also generalized to conventional antiferromagnetic materials. Due to the equivalent time-reversal symmetry, more spin groups with conventional antiferromagnetism have high-dimensional irreducible representations. Furthermore, conventional antiferromagnetic materials are more abundant than altermagnetic materials, thus conventional light-element antiferromagnetic materials with strong SOC effect remain to be discovered.

In experiment, a recent experiment suggests that NiF_3 is a semiconductor [57], which is consistent with our

calculations. This provides a strong evidence that NiF_3 has a strong SOC effect. Different from NiF_3 , FeCO_3 has not only been synthesized experimentally [58], but also its magnetic structure has been confirmed by neutron scattering experiments [59]. Therefore, FeCO_3 must have strong SOC effect, which can be confirmed by the ARPES experiment and the first-principles electronic structure calculations. Finally, the metal phase of NiF_3 is unstable, and both the Jahn–Teller and SOC effects can transform NiF_3 into a stable insulator phase. Our calculations indicate that the SOC effect dominates the electronic properties of NiF_3 rather than the Jahn–Teller effect (Detailed calculations and analysis are presented in the ESM). In addition, it is somewhat challenging to experimentally confirm whether the material has an extremely strong SOC effect. This is because the electronic structure of real materials already contains SOC, which requires both theory and experiments to jointly confirm whether the material has an effective SOC effect.

5 Conclusion

Based on symmetry analysis and the first-principles elec-



tronic structure calculations, we demonstrate that there is extremely strong SOC effect in altermagnetic materials NiF_3 and FeCO_3 . Then, we propose a mechanism to enhance SOC effect in altermagnetic materials. This mechanism reveals the cooperative effect of crystal symmetry, electron occupation, electronegativity, electron correlation, and intrinsic spin-orbit coupling. The mechanism can explain not only the strong SOC effect in altermagnetic NiF_3 , but also the weak SOC in altermagnetic VF_3 , CrF_3 , FeF_3 , and CoF_3 . Moreover, the mechanism for enhancing SOC effect can be also generalized to two-dimensional altermagnetic materials, being beneficial to realize quantum anomalous Hall effect at high temperatures.

Declarations The authors declare that they have no competing interests and there are no conflicts.

Data availability Data are available from the corresponding authors (Peng-Jie Guo and Zhong-Yi Lu) upon reasonable request. We employed the density functional theory code VASP and EDMFTF, which can be obtained and purchased at www.vasp.at and hauleweb.rutgers.edu/, respectively.

Electronic supplementary materials The online version contains supplementary materials available at <https://doi.org/10.15302/frontphys.2026.045203>.

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